

CUSTOMER RELATIONSHIP SUMMARY

November 19, 2024

We, StoneX Advisors, Inc. (“SAI”), are registered with the Securities and Exchange Commission (“SEC”) as an investment adviser. We are affiliated with StoneX Securities Inc. (“SSI”), a Financial Industry Regulatory Authority registered broker-dealer and Securities Investor Protection Corporation member, and with Trust Advisory Group, Ltd. (“TAG”), an investment advisor registered with the SEC. Collectively, SAI, SSI, and TAG are known as **StoneX Wealth Management**. We provide investment advisory services. Our affiliate SSI provides broker-dealer services while TAG provides investment advisory services. Please click the following links for a copy of SSI’s [Customer Relationship Summary](#) and TAG’s [Customer Relationship Summary](#). Investment advisory services and fees differ from broker-dealer services and fees; it is important for you, our client, to understand the differences.

This document is a summary of the investment advisory services and fees we offer to “Retail Investors”, individuals who seek or receive services primarily for personal, family or household purposes. Additionally, free and simple tools are available to research firms and financial professionals at the website www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What Investment Services and Advice Can You Provide Me?	<p>We offer investment advisory and management services as well as financial planning. These services include account monitoring, portfolio management, pension consulting, wrap fee programs, selection of advisers and advisory consulting. Our representatives may offer our advisory services using their own D/B/A (“doing business as”) name and they will prominently disclose the capacity in which they are acting. Detailed information regarding our services, fees and other disclosures can be found in our Part 2 Brochure (Form ADV Part 2A), Items 4, 7, and 8.</p> <p>Our financial professionals may be limited in the services and investments they can offer you due to the securities licenses they hold. They will discuss such limitation with you as well as the products/services they can provide.</p> <p>Investment Authority: Investment advisory accounts are managed both on a “Discretionary” and “Non-discretionary” basis.</p> <ul style="list-style-type: none">• Discretionary investment management services grant the firm the authority to decide which investments to buy or sell for your account. We also have discretion to select, retain or replace third-party managers to manage your account. You may limit our discretionary authority (for example, limiting the types of securities that can be purchased or sold for your account) by providing our firm with your restrictions and guidelines in writing.• Non-discretionary investment management services provides you with advice, but you will ultimately decide which investments to buy and sell for your account. You have an unrestricted right to decline to implement any advice provided by our firm on a non-discretionary basis. <p>If you decide to use our Discretionary or Non-discretionary services, you will sign an agreement which you can terminate at any time.</p> <p>Investment Offerings: We offer investment advice on a broad range of investments including domestic and international equities, options, fixed income securities, mutual funds, exchange traded funds (ETFs), real estate investment trusts (REITS), variable annuities, structured products, alternative Investments, money market mutual funds, and certificates of deposit. While we do not offer any proprietary investment products, our advisory services do include proprietary allocation models. All the products we used in our advisory services are selected from third party sponsors not related to us.</p> <p>Account Minimums and Requirements: In general, we require a minimum investment of \$10,000 to open and maintain an advisory account; we have the right to terminate your account if it falls below a minimum size which, in our sole opinion, is too small to manage effectively.</p> <p>Key Questions to Ask Your Financial Professional</p> <ul style="list-style-type: none">• <i>Given my financial situation, should I choose an investment advisory service? Why or Why Not?</i>• <i>How will you choose investments to recommend to me?</i>• <i>What is your relevant experience, including your licenses, education and other qualifications?</i>• <i>What do these qualifications mean?</i>
What Fees Will I Pay?	<p>The principal fees and costs associated with engaging our firm for investment advisory services include:</p> <ul style="list-style-type: none">• Asset Based Fees: These are billed either monthly or quarterly, in advance or in arrears at the end or beginning of the period. Since the fees we receive are asset-based (i.e. based on the value of your account), we have an incentive to increase your account value which creates a conflict especially for those accounts holding illiquid or hard-to-value assets.

	<p>x Wrap Fee Programs: These are comprehensive fees for services provided by an investment manager or advisor. The fee generally covers investment advice, account management, commissions, trading fees, and related expenses. They tend to be higher than non-wrap programs.</p> <p>x Non-Wrap Programs / product-level fees associated with your investments. We may share in these fees and charges (see below). Please You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any costs you are paying.</p> <p>< Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</p>
<p>What are Your Legal Investment Adviser? How Else does Your Firm Make Money Interest do you Have?</p>	<p>When we act as your investment adviser, we must act in your best interest and not put our interest ahead of you understand what this means.</p> <p>x Third-Party Payments: We receive payments from third-party product sponsors and managers (or their products of third-less.</p> <p>x Principal Trading your consent.</p> <p>< How might your conflicts of interest affect me, and how will you address them?</p>
<p>How do Your Financial Professionals Make Money?</p>	<p>dZ . v v] o percentage of the advisory fees charged by us based on the amount of client assets they service; or hourly or</p>
<p>Do You or Your Financial Professionals Have Legal or Disciplinary History?</p>	<p>Yes. Visit adviserinfo.sec.gov Financial Professional</p>
<p>Who is my primary contact person? /-Z } / t Z</p>	<p>summary at (800) 292-2411 or email us at AdvisoryCompliance@StoneX.com.</p> <p>< Who is my primary contact person? /-Z } / t Z /-Z } / t Z</p>